

How Carbon Monoxide Bonds to Alumina-Supported Rhodium Particles

Tunneling Spectroscopy Measurements with Isotopes¹

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Carbon monoxide chemisorbs in at least three different ways on alumina-supported rhodium particles: There are two different linear-bonded species and at least one bridge-bonded species. These conclusions from tunneling measurements on a model catalyst surface are based on isotope shifts with ¹³CO and C¹⁸O. They are in agreement with the conclusions from infrared measurements on dispersed metal catalysts.

I. INTRODUCTION

Several methods for obtaining vibrational information of surface species have been developed. The oldest, infrared spectroscopy, has been joined by electron energy loss spectroscopy, inelastic neutron scattering, and inelastic electron tunneling spectroscopy (1). The types of surfaces studied by these four techniques differ widely, from the ultraclean crystals studied by electron energy loss spectroscopy to the commercial catalysts that can be studied with infrared spectroscopy (2).

This paper is an extension of a previously reported tunneling spectroscopy study (3) of a well-characterized model system that closely resembles supported metal catalysts: It consists of small rhodium particles on alumina. The alumina support is obtained by oxidizing an evaporated thin aluminum film. Its properties closely

resemble those of γ -alumina (4). Rhodium is evaporated onto this alumina, where it forms highly dispersed small particles. See Fig. 1. These 30 Å particles are of similar size and distribution as those formed from the reduction of transition metal salts on alumina to form commercial catalysts (5).

This similarity between our model catalyst and those of infrared spectroscopy workers, such as Yang and Garland (6), permits direct comparison of tunneling data with published infrared data. From this comparison we conclude that the surface species are the same for our model system as for a dispersed metal catalyst. We also make an estimate of the dipole derivative for the adsorbed species from the observed peak shifts between tunneling and infrared data.

II. EXPERIMENTAL METHODS

The details of the preparation of inelastic electron tunneling spectroscopy tunneling junctions have been reported elsewhere

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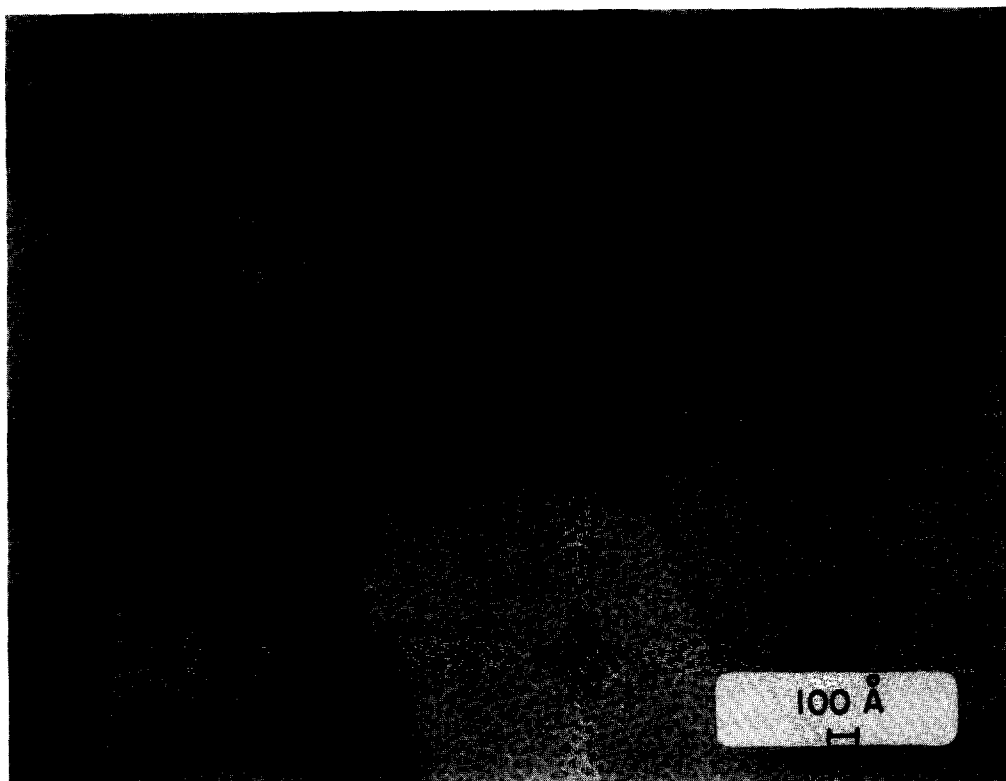


FIG. 1. Rhodium particles are shown in an electron micrograph of a specially prepared sample. The aluminum, evaporatively deposited and oxidized as in the preparation of a tunneling junction, is supported by a carbon-coated nickel grid. The relatively large crystallites are in the aluminum metal film. The oxide on the aluminum metal is too thin to be seen. The rhodium particles appear as small hemispheres with a typical diameter of 25 Å.

(7). A brief description of the procedure follows.

Aluminum electrodes are deposited in high vacuum onto a glass slide. These thin aluminum films, usually 800 Å thick, are oxidized at 200°C in air to form the alumina insulating barrier necessary for tunneling. The oxidized electrodes are cleaned in an argon glow discharge prior to the deposition of rhodium. The rhodium is evaporated either in the presence of carbon monoxide or in high vacuum, followed by exposure to CO. A CO pressure of 1×10^{-5} Torr is sufficient to dominate the residual gases present, typically 1×10^{-7} Torr H_2O and H_2 , and is maintained in the system to achieve a total exposure of typically 10^3 Langmuirs. Junctions are then completed

by the evaporation of the top lead electrode. In this work all doped junctions were formed adjacent to undoped, control junctions to allow the subtraction of background structure. The differential spectrometer used has been described in the literature (8).

Isotopically labeled CO was obtained from Stohler isotopes ($^{12}\text{C}^{18}\text{O}$) and Merck, Sharp, and Dohme ($^{13}\text{C}^{16}\text{O}$), and was used as received.

Peak positions were measured relative to the background slope and averaged for many samples, to enable the detection of shifts as small as 0.2 meV. An averaging procedure was necessary because the absolute peak positions of several modes varied as much as 0.4 meV from sample to sample.

Peak shifts were measured between differential spectra of equal rhodium coverage. Again, averages of measured shifts were taken. All position measurements were taken from spectra with an expanded voltage axis (10 mV per inch).

III. DATA

Figure 1 shows a transmission electron micrograph of our rhodium-alumina surface. The large crystalline structure is the polycrystalline aluminum film that serves as the bottom electrode. (The alumina is thin, on the order of 20 Å thick.) The deposited rhodium can be seen as small spots covering the entire photograph. The average particle size is near 25 Å. It should be noted that the coverage of rhodium particles is uniform. The total mass of the deposited rhodium as measured with a quartz crystal microbalance is equivalent to a 4-Å-thick continuous layer. This coverage of rhodium was used in this work because it corresponds to maximum peak

intensities with good resolution in the tunneling spectra. Higher rhodium coverages give increased overall peak intensities, but fail to resolve the two lowest modes.

The differential tunneling spectra of CO chemisorbed on rhodium is shown in Fig. 2. The positions of peaks due to rhodium-CO have been indicated. The positions of these vibrations are tabulated in Table 1 for the three isotopes of CO used. Positions listed are averages, and sample standard deviations are given.

IV. INTERPRETATION

The isotope shifts for the vibrations at 215.2 and 242.7 meV (1721 and 1942 cm^{-1} , respectively), confirm that these modes are, as one expects, carbon-oxygen stretching modes (2). From studying sample-to-sample variations in intensity, it was found that the amplitude of the mode at 242.7 meV was correlated with a linear combination of the amplitudes of the two lowest modes, 51.6 and 58.1 meV (413 and 465

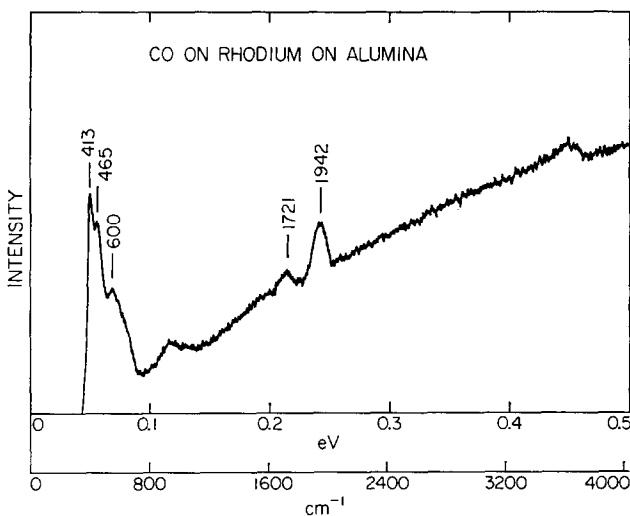


FIG. 2. Differential spectra of carbon monoxide chemisorbed on alumina-supported rhodium particles. Peaks labeled are modes studied with isotopes. Small peak at 116 meV (928 cm^{-1}) is due to the alumina support. (In earlier data (3) an approximate correction for the effect of the superconducting energy gap of the Pb electrode was made by subtracting 6 cm^{-1} from observed peak positions (13). Since this correction is only approximate for broad peaks, we have chosen not to make it here. Thus the reported peak positions are systematically higher here.)

TABLE 1

$^{12}\text{C}^{16}\text{O}$	$^{13}\text{C}^{16}\text{O}$	Shift	$^{12}\text{C}^{18}\text{O}$	Shift
$51.6 \pm 0.2^{a,b}$	49.9 ± 0.2	-1.7 ± 0.3	51.0 ± 0.2	-0.6 ± 0.3
58.1 ± 0.2	56.3 ± 0.2	-1.8 ± 0.3	57.4 ± 0.2	-0.7 ± 0.3
75.0 ± 1.0	73.0 ± 1.0	-2.0 ± 1.4	73.5 ± 1.0	-1.5 ± 1.4
215.2 ± 0.2	210.2 ± 0.2	-5.0 ± 0.3	210.4 ± 0.2	-4.8 ± 0.3
242.7 ± 0.3	238.2 ± 0.3	-4.5 ± 0.4	238.3 ± 0.3	-4.4 ± 0.4

^a Positions are measured in millielectron volts.

^b To convert to cm^{-1} multiply by 8.065.

cm^{-1}). The relative amplitude of these two lowest modes was found to depend both upon the amount of rhodium evaporated and the amount of exposure to CO. The 51.6 meV mode dominates at low Rh coverages, with the 58.1 meV mode gaining in relative intensity at higher coverages. The 58.1 meV mode is the stronger mode at low CO coverages. For samples with a saturation CO coverage, as in Fig. 2, the mode at 51.6 meV dominates. The amplitude of the mode at 215.2 meV was correlated with the amplitude of the mode at 75 meV (600 cm^{-1}). Spectra showing the growth of the various modes with increasing rhodium coverage have previously been published (3). Both high frequency modes were broad, having widths on the order of 10 to 12 meV.

The shifts observed in the low frequency modes are seen to be consistently larger for $^{13}\text{C}^{16}\text{O}$ substitution than for $^{12}\text{C}^{18}\text{O}$ substitution. See Table 1. Typical shifts for a metal-carbon stretching vibration would be -0.6 meV for $^{13}\text{C}^{16}\text{O}$, and -1.2 meV for $^{12}\text{C}^{18}\text{O}$ (9). It is seen that no observed low frequency mode can be assigned to a metal-carbon stretching vibration. Typical shifts for a metal-carbon monoxide bending vibration would be -1.6 meV for $^{13}\text{C}^{16}\text{O}$ and -0.6 meV for $^{12}\text{C}^{18}\text{O}$ (9). This agreement with the observed shifts, in both magnitude and direction enable the identification of the modes at 51.6 meV and 58.1 meV as bending modes. The widths of both these modes, approximately 5 meV, are larger than the instrumental line-

width. Typical separations of the bending modes from the stretching modes for linear metal-carbon monoxide bonds are 0-30 meV (9). This could place the corresponding stretching modes within the widths of the observed bending modes. Since the observed modes shift as bending modes, the stretching modes must be of relatively low intensity. The separation of these two bending modes, 6.5 meV, is much larger than the separation that might be expected for the degenerate bending modes of $\text{Rh}(\text{CO})_2$. Thus, the identification of two bending modes indicates the presence of two types of linearly bonded CO molecules.

The mode at 75 meV involves both carbon and oxygen. The isotope shift data suggest it has a bending nature, but is not conclusive. As it is higher in frequency than the (linear) terminal modes, it is identified as at least one bridging species of CO. This mode showed the highest variability in position and shape, and thus has the largest uncertainty in the measured shift data.

The interpretation of the spectra of Fig. 2 is then in terms of at least three species of CO: Two similar linearly bonded molecules with nonequivalent metal-carbon bond strengths that exhibit bending vibrations at 51.6 meV and 58.1 meV. The corresponding stretching vibration of the carbon oxygen bond for both species appears as a broad band at 242.7 meV. In addition, at least one bridging species of CO can be seen at 75 meV and 215.2 meV.

The interpretation of the mode at 242.7

meV as being the superposition of the CO stretching modes of both linear species is supported by Fig. 3. This figure is of a sample that has been heated to 150°C. The bending mode at 51.6 meV (413 cm^{-1}) in Fig. 2 now appears greatly reduced in amplitude at 50.6 meV (406 cm^{-1}). The bending mode at 58.1 meV (469 cm^{-1}) has shifted to 59.8 meV (478 cm^{-1}) and is nearly unchanged in amplitude. This gives additional support to our identification of the modes at 51.6 and 58.1 meV as due to different species. Note that the mode at 242.7 meV (1942 cm^{-1}) has shifted to 242.1 meV (1937 cm^{-1}) and also has been reduced in amplitude as would be expected if it originally contained unresolved contributions from the two species with different bending modes.

It must be emphasized, however, that our assignments of the peaks at 58.1 and 59.8 meV as bending modes means only that the shifts of these peaks with isotopes suggest that the dominant contribution is from bending modes. At the very least they contain some contribution from stretching modes as discussed above. They may also contain contributions from dissociated CO molecules as suggested by de Cheveigne *et al.* for their samples.

Note that in both Figs. 2 and 3 an OH vibration is present. A straightforward interpretation is that the background water vapor bonds to the CO saturated rhodium particles. The implication is that there are sites available for hydroxyl groups that are not poisoned by exposure to CO.

It is apparent from Fig. 3 that the reaction products contain C-H bonds. We are actively working to identify the products under different reaction conditions.

V. DISCUSSION

The results obtained above are in good agreement with those obtained by Yang and Garland (6) on alumina-supported rhodium. In their study a species consisting

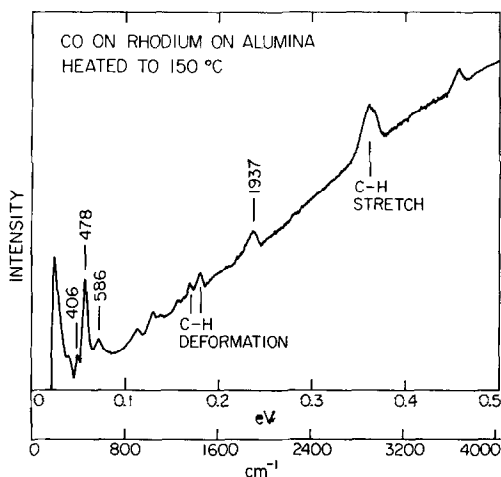


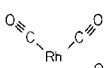


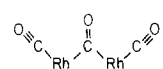
Fig. 3. CO on rhodium on alumina heated to 150°C. One species of linear CO has reacted or thermal desorbed (406 cm^{-1}), while the second linear species remains (478 cm^{-1}). Note the bridged species (586 cm^{-1}) is also reduced in intensity. Isotopic labeling indicates the hydrocarbons formed are due to the CO chemisorbed on the rhodium particles. The large peak at 30 meV (240 cm^{-1}) is an instrumental effect. This peak, not shown in Fig. 2, is a subtraction error that is only present when the Pb electrode is superconducting. See Ref. (8).

of two linear CO molecules bonded to a single rhodium atom, a linear species with one CO per rhodium atom, and a bridged species consisting of a CO bonded to two rhodium atoms, each of which also had a single linear CO, were proposed.

Subsequent work by Garland *et al.* (10) on evaporated metal films of rhodium agreed with this interpretation, with the additional comment that a variety of bridged species seemed to exist that were sensitive to sample preparation. Their work also reported indications of weak adsorption in the spectral region of 575 to 400 cm^{-1} (72 to 50 meV); but spectrum quality did not allow identification of bands due to chemisorbed CO.

The results of Garland *et al.* (10) on evaporated rhodium films were confirmed by work done by Harrod *et al.* (11). This work was done under ultrahigh vacuum

TABLE 2
 Observed Mode Positions^a

Yang and Garland (6)	Garland <i>et al.</i> (10)	Harrod <i>et al.</i> (11)	Aria and Tominaga (12)	Yao and Rothschild (16)	This work
	400-575 "I," "II," "IIIA, B" ^b				413 I 465 II 600 IIIA, B 1721 IIIA, B
	1817 1852 "IIIA" 1905 "IIIB"	1820 IIIA, B	1860 IIIA	1850 IIIA 1900 IIIB 2000 II ^c 2030 I	1942 I, II
1925 IIIB		2000 I, II			
2027 I			2040 I		
2050 II	2055 II		2065 II		
2095 I	2111 I		2108 I	2100 I	
	Species I 		Species IIIA 		
	Species II 		Species IIIB 		

^a In cm^{-1} . To convert to millielectron volts, divide by 8.065.

^b Quotes indicate that the mode assignment is ours, not original authors'.

^c Observed in downshifted position after heating.

conditions; the CO used was not exposed to a hot rhodium filament.

A recent study on alumina supported rhodium by Arai and Tominaga (12) again confirmed the early results of Yang and Garland. In their work, the order of the metal-carbon bond strengths was determined by thermal desorption. Our assignment agrees with theirs; the bridging bond is the strongest (75 meV), the single linear species is the next strongest (58.1 meV), and the twin linear species has the weakest bond (51.6 meV).

Table 2 lists the reported positions of modes observed by several studies of CO on rhodium. The modes are labeled as to the species they are assigned to in the literature.

The variability of the bridging mode at 75 meV (600 cm^{-1}) of CO on rhodium that we have noticed is reflected by the presence of at least two types of bridging species in the infrared data; the mode at 1860 cm^{-1}

(230.6 meV) reported by Arai and Tominaga (12), and the mode at 1925 cm^{-1} (238.7 meV) reported by Yang and Garland (6). These modes have been labeled (III)A, and (III)B, respectively, in Table 2.

The twin linear species, labeled (I) in the table, has a doublet CO stretching vibration appearing at 2030 and 2100 cm^{-1} (251.7 and 260.4 meV). The single linear species, labeled (II) in Table 2, has its CO stretching vibration at 2065 cm^{-1} (256 meV).

Comparison of the tunneling data with the infrared data shows:

(1) Tunneling spectroscopy obtains vibrational information in the metal-carbon vibrational region. Intensities are strong, and the support gives negligible interference. This region was very useful for species identification.

(2) The CO stretching modes are downshifted approximately 15 meV (120 cm^{-1})

and broadened relative to the infrared modes.

With the conclusion that the surface species in the tunneling junctions are the same as those in the infrared studies, the broadening and downshifting of the CO stretching vibration in the tunneling data is of interest. An essential difference between the infrared experiments and the tunneling experiments is the top metal electrode in the tunneling experiments. Kirtley and Hansma (13) have studied the effect of the top metal electrode and found that it indeed produces broadening and downshifts by an image dipole effect. The broadening is found to be proportional to the downshift because of inequivalent sites. The downshift in frequency is

$$\Delta\omega_1 = \frac{-q_1^2}{32\pi\epsilon_0 m\omega_0 n_1^2 d^3} \left\{ 1 + \frac{3}{2} \left(\frac{m\omega_0^2}{2E_D} \right)^{\frac{1}{2}} \frac{q_0 d}{q_1} \right. \\ \left. \times \left[1 - \left(1 + \frac{a}{2d} \right)^{-2} \right] \right\} \quad (1)$$

where aq_0 and q_1 are the dipole moment and dipole derivative for the vibrational mode, ω_0 is its frequency, m is its reduced mass, a is the bond length, E_D is the dissociation energy of the bond, n_1 is the dielectric constant of the oxide layer, and d is the distance to the top lead electrode.

We can neglect the second term in the bracket since it is less than one even if $q_0 \approx q_1$, and it is known for carbon monoxide and can be convincingly argued for metal carbonyls that $q_0 \ll q_1$. We can approximate $n_1^2 d^3$ by the value found in fitting other peak shifts, $1.5 \times 10^{-30} \text{ m}^3$. We can use measured values for ω_0 , ≈ 3.5 and $\approx 3.9 \times 10^{14} \text{ 1/sec}$ for bridged and linear CO. We can calculate $m \simeq 1.14 \times 10^{-26} \text{ kg}$, leaving only q_1 unknown. Thus, if we assume the validity of Eq. (1), we can use it with our measured peak shifts, $\Delta\omega_1 \approx 2.3 \times 10^{13} \text{ sec}^{-1}$, to estimate

$$q_1 \approx (32\pi\epsilon_0 m\omega_0 (n_1^2 d^3) \Delta\omega_1)^{\frac{1}{2}} \\ \approx 3.6 \times 10^{-19} \text{ C} \approx 11 \text{ D/\AA}.$$

For comparison Johnson and Lewis estimated (14) dipole derivatives in the range 9 to 15 D/\AA for various metal carbonyls from infrared intensity data. W. G. Rothschild kindly derived (15) an order of magnitude estimate of 18 D/\AA for Rh on γ -alumina (5a) from infrared intensity data (16). R. P. Eischens generously supplied us with integrated intensities from his published (17-19) and unpublished work that support the idea that the dipole derivative for CO adsorbed on supported metals is considerably larger than for free CO (3.1 D/\AA). His integrated peak intensities for chemisorbed CO are 50 to 200 times larger than for gaseous CO. Though both our peak shift data and the infrared intensity data give only crude estimates of the dipole derivative, it is comforting that they are in the same ball park. At any rate, it shows that the peak shifts can be explained with physically reasonable parameters in the image dipole theory (13).

However, recent careful work by H. Ibach (20) leads him to the conclusion that the dipole derivative for CO adsorbed on Pt was comparable to that for free CO. Perhaps there are fundamental differences between the situation for CO on Rh particles and CO on single crystal Pt. At any rate, we cannot explain the discrepancy.

A surprising feature of the data is the high intensity of the bending modes relative to the C-O stretching modes. We speculate that this is, at least partially, an orientation effect. The dominant contribution to the inelastic tunneling current is probably from tunneling electrons that pass near the edges of the caplike particles (Fig. 1). Tunneling electrons that pass into the particles are unlikely to contribute.

Theory (21) and experiment (22) agree that tunneling electrons couple preferentially to vibrational modes with oscillating dipole moments parallel to the direction of tunneling. For CO molecules bonded to the edge of Rh particles perpendicular to the Rh surface, the bending modes are parallel

to the tunneling electrons. The CO stretching modes are then perpendicular to the direction of tunneling. Thus we would expect the bending modes to be enhanced relative to the CO stretching modes.

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